

## ACHIEVEMENTS 2018

- Drafted and achieved consensus on ICSA Strategy through to the ICSA AGM in June 2019. Strategies for regulator/authorities interaction, consultation and papers, member information sharing and networking, and promotion of ICSA were developed.
- Distributed weekly “ICSA Updates” of regulatory and ICSA news to all members.
- Continued to distribute the free FS-ISAC monthly cybersecurity newsletter to ICSA members so they could share it with their members if they chose. FS-ISAC supplies the newsletter to encourage cyber awareness and new membership.
- In May, organized a ten-member ICSA delegation that met with eight members of the FSB Secretariat in Basel. The meeting focused on evaluation work, conduct and compensation, crypto-assets, benchmarks, CCPs, TCFD, benchmarks, market-based finance, and correspondent banking.
- Arranged meetings for fifteen members/market participants with eight IOSCO Committee Chairs at IOSCO’s annual AGM and conference in Budapest, and reported back to all ICSA members.
- Participated in the SIFMA-IIAC Canada- US Summit in New York and ICMA AGM in Madrid.
- Organised a meeting for five members with Paul Andrews and Tajinder Singh of IOSCO on 22 May in Madrid to better understand the IOSCO strategy and program and express views on key issues. A note was distributed to all members.
- The Emerging Markets Committee published *Measures taken for Investor Education and Protection for Direct Equity Investors across Emerging Market Jurisdictions*. Shared the results with IOSCO and the OECD.
- Managed the transition of ICSA’s administration (billing, bank accounts, bill payment, payroll, expenses, travel, and financials) from IIAC to and innovative iCloud-based relationship with Grant Thornton.
- After surveying members in January as to how to best organise the AGM and Conference, held a highly successful AGM and Conference in Taipei in May (84% attendance), featuring speakers including the President, Head Financial Regulator, former Finance Minister, and leaders in regulation, global strategy, and fintech.

- With AMIB, surveyed members on their relationships with stock exchanges and regional tax incentives for new issuers. Collated and shared results.
- With AMIB, developed a chart listing the banking, securities, asset management, insurance, and pension regulators in selected jurisdictions.
- Contributed to AFME-led comment letter on draft FATF Risk-Based Approach Guidance for the Securities Sector
- Initiated regular member contributions to BBF's monthly publication *Forum Views*
- In September, a six member delegation visited the OECD in Paris. Views as to how ICSA can work with the OECD were exchanged with Greg Medcraft (Director of the Directorate for Financial and Enterprise Affairs) and team. Members decided to continue the meetings in the future to engage in the OECD work plan.
- Participated in the SIFMA Capital Markets Conference and met with three members of the Senate Banking Committee in October, and reported back to members
- Participated in the October IOSCO Stakeholders Meeting 19 October and reported back to members.
- Held a conference call for all members with IOSCO Secretary General Paul Andrews on 5 November to better understand the IOSCO strategy and program and express views on key issues including fragmentation.
- Met with Mark Yallop (Chair) of the UK FMSB and reported back to members.
- Held Interim Meeting in November hosted by AFME, covering Brexit; Benchmarks; IOSCO, the FSB, and the OECD; and Compliance, Conduct, and Culture.
- Endorsed the Global Financial Markets Association *Principles for Achieving Consistent Regulatory Regimes and Supervisory Practices*.
- In December, a seven-member ICSA delegation travelled to Basel:
  - met with FSB Secretary General (and team), focusing on implementation of reforms, bond market liquidity, governance, compensation, correspondent banking, and technology
  - met with the BCBS for the first time, covering topics including liquidity, market making, FRTB, repo, sovereign exposures, and clearing