



# **Regulation of Finance**

# Americas

	Banks	Securities Industry	Insurance	Asset Management	Pensions
Brazil	Central Bank of Brazil	Comissão de Valores Mobiliários	Superintendence of Private Insurance	Comissão de Valores Mobiliários	National Superintendency of Complementary Social Security
Canada	Office of the Superintendent of Financial Institutions	Investment Industry Regulatory Organization of Canada	Office of the Superintendent of Financial Institutions	Investment Industry Regulatory Organization of Canada	Office of the Superintendent of Financial Institutions
Mexico	Comision Nacional Bancaria y de Valores	Comision Nacional Bancaria y de Valores	Comision Nacional de Seguros y Fianzas	Comision Nacional Bancaria y de Valores	Comision Nacional del Sistema de Ahorro para el Retiro
USA	<ul style="list-style-type: none"> <li>- Federal Reserve</li> <li>- Federal Deposit Insurance Corporation</li> <li>- Office of the Comptroller of the Currency</li> </ul>	<ul style="list-style-type: none"> <li>- Securities and Exchange Commission</li> <li>- Financial Industry Regulatory Authority</li> <li>- Commodities Futures Trading Commission</li> </ul>	State Insurance Commissions	<ul style="list-style-type: none"> <li>- Securities and Exchange Commission</li> <li>- Financial Industry Regulatory Authority</li> </ul>	<ul style="list-style-type: none"> <li>- Department of Labor</li> <li>- Financial Industry Regulatory Authority</li> </ul>

# Asia

	Banks	Securities Industry	Insurance	Asset Management	Pensions
Australia	Australian Prudential Regulation Authority	Australian Securities and Investments Commission	<ul style="list-style-type: none"> <li>- APRA</li> <li>- ASIC</li> </ul>	Australian Securities and Investments Commission	<ul style="list-style-type: none"> <li>- APRA</li> <li>- ASIC</li> </ul>
China	China Banking Regulatory Commission  People's Bank of China	China Securities Regulatory Commission	China Insurance Regulatory Commission	PBoC CBRC, CSRC, CIRC	PBoC CBRC, CSRC, CIRC
Hong Kong	Hong Kong Monetary Authority	Hong Kong Securities and Futures Commission	The Insurance Authority	Hong Kong Securities and Futures Commission	<ul style="list-style-type: none"> <li>- Hong Kong Securities and Futures Commission</li> <li>- Mandatory Provident Fund Schemes Authority</li> </ul>
India	Reserve Bank of India	Securities and Exchange Board of India	The Insurance Regulatory and Development Authority	<ul style="list-style-type: none"> <li>- RBI</li> <li>- SEBI</li> </ul>	Pension Fund Regulatory and Development Authority
Japan	Financial Services Agency	Financial Services Agency	Financial Services Agency	Financial Services Agency	<ul style="list-style-type: none"> <li>- FSA</li> <li>- Ministry of Health, Labour and Welfare</li> </ul>
Korea	<ul style="list-style-type: none"> <li>- Bank of Korea</li> <li>- Financial Services Commission</li> <li>- Korea Deposit Insurance Commission</li> </ul>	<ul style="list-style-type: none"> <li>- Financial Services Commission</li> <li>- Financial Supervisory Service</li> <li>- Korea Exchange</li> </ul>	Financial Services Commission	Korea Asset Management Corporation	Financial Services Commission
Singapore	Monetary Authority of Singapore	Monetary Authority of Singapore	Monetary Authority of Singapore	Monetary Authority of Singapore	Monetary Authority of Singapore

# Europe

	Banks	Securities Industry	Insurance	Asset Management	Pensions
EU	European Banking Authority	European Securities Markets Authority	European Insurance and Occupational Pensions Authority	European Securities Markets Authority	European Insurance and Occupational Pensions Authority
France	Prudential and Resolution Control Authority	Autorité des marchés financiers	Autorité de Contrôle Prudentiel et de Résolution	Autorité des marchés financiers	- AMF - ACPR
Germany	- Bundesbank - BaFin	Federal Financial Supervisory Authority (BaFin)	BaFin	BaFin	BaFin
Italy	Bank of Italy	Commissione Nazionale per le Società e la Borsa	Institute for the Supervision of Insurance	Financial Market Supervisory Authority	COVIP
Switzerland	Financial Market Supervisory Authority	Swiss Financial Market Supervisory Authority	Financial Market Supervisory Authority	Financial Market Supervisory Authority	Cantons
UK	Prudential Regulation Authority	Financial Conduct Authority	- PRA - FCA	Financial Conduct Authority	- Financial Conduct Authority - The Pensions Regulator

# Global

- **Bank for International Settlements (BIS)**  
*fosters international monetary and financial cooperation and serves as a bank for central banks and hosts the Secretariat of the Basel Committee on Banking Supervision*
- **Financial Stability Board (FSB)**  
*monitors and makes recommendations about the global financial system and supports the G20*
- **International Organisation of Securities Commissions (IOSCO)**  
*association of organisations that regulate the world's securities and futures markets*